

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 403552/Aoyama & Partners
		CLIENT REF. NO. 546177
		U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 867557804
INTERNATIONAL APPLICATION NO. PCT/JP2004/007396	INTERNATIONAL FILING DATE May 21, 2004	PRIORITY DATE CLAIMED May 23, 2003
TITLE OF INVENTION HEAT EXCHANGER OF PLATE FIN AND TUBE TYPE		
APPLICANT(S) FOR DO/EO/US KAGA et al.		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
<ol style="list-style-type: none">1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 USC 371 and 37 CFR 1.491.3. <input checked="" type="checkbox"/> This is an express request to begin national examination procedures (35 USC 371(f)).4. <input type="checkbox"/> The US has been elected (PCT Article 31).5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 USC 371(c)(2))<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau).b. <input checked="" type="checkbox"/> has been communicated by the International Bureau.c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US).6. <input type="checkbox"/> An English language translation of the International Application as filed (35 USC 371(c)(2)).<ol style="list-style-type: none">a. <input type="checkbox"/> is attached hereto.b. <input type="checkbox"/> has previously been submitted under 35 U.S.C. 154(d)(4).7. <input checked="" type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 USC 371(c)(3))<ol style="list-style-type: none">a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau).b. <input type="checkbox"/> have been communicated by the International Bureau.c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired.d. <input checked="" type="checkbox"/> have not been made and will not be made.8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 USC 371(c)(3)).9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 USC 371(c)(4)).10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 USC 371(c)(5)).11. Nucleotide and/or Amino Acid Sequence Submission<ol style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. Specification Sequence Listing on:<ol style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> Paper Copyc. <input type="checkbox"/> Statement verifying identity of above copies		
Items 12 to 20 below concern other document(s) or information included:		
<ol style="list-style-type: none">12. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98.<ul style="list-style-type: none"><input checked="" type="checkbox"/> Form PTO-1449<input checked="" type="checkbox"/> Copies of References (except for U.S. patents and applications)13. <input type="checkbox"/> An assignment for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.14. <input checked="" type="checkbox"/> A FIRST preliminary amendment.15. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment.16. <input type="checkbox"/> A substitute specification.17. <input type="checkbox"/> A change of power of attorney and/or address letter.18. <input type="checkbox"/> Application Data Sheet Under 37 CFR 1.7619. <input checked="" type="checkbox"/> Return Receipt Postcard20. <input checked="" type="checkbox"/> Other items or information: International Search Report (PCT/ISA/210)		

SEND TO: U.S. Patent and Trademark Office, Customer Service Window, Mail Stop PCT, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

U.S. APPLICATION NO. (if known, see 37 CFR 2.51) 107557604 INTERNATIONAL APPLICATION NO. PCT/JP2004/007396		ATTORNEY DOCKET NO. 403552		CLIENT REF. NO. 546177	
The following fees are submitted:				CALCULATIONS	PTO USE ONLY
21. <input checked="" type="checkbox"/> Basic national fee \$300				\$300.00	
22. <input type="checkbox"/> Examination Fee If international preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) \$100 All other situations \$200				\$	
23. <input type="checkbox"/> Search Fee USPTO was ISA \$100 Search report provided to USPTO by other entity \$400 All other situations \$500				\$	
TOTAL OF 21, 22 AND 23 =				\$300.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.				\$	
Total Sheets - 100 =	Extra Sheets / 50 =	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE x \$250		
<input type="checkbox"/> Surcharge of \$130 for furnishing the National fee or oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)).				\$	
CLAIMS Total Claims	NUMBER FILED 9 - 20 =	NUMBER EXTRA - 3 =	RATE x \$ 50 x \$200 + \$360	\$ \$ \$	
Independent Claims				\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)				\$	
TOTAL OF ABOVE CALCULATIONS =				\$	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2.				\$()	
SUBTOTAL=				\$300.00	
<input type="checkbox"/> Processing fee of \$130 for furnishing English Translation later than 30 months from the earliest claimed priority date.				\$	
TOTAL NATIONAL FEE=				\$300.00	
<input type="checkbox"/> Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property.				\$	
TOTAL FEES ENCLOSED=				\$300.00	
				Amount to be: refunded	\$
				Amount to be charged:	\$ 300.00
<p>a. <input type="checkbox"/> No fee is believed to be due.</p> <p>b. <input checked="" type="checkbox"/> Please charge Deposit Account No. 12-1216 the total fee indicated above.</p> <p>c. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 12-1216. A duplicate copy of this sheet is enclosed.</p> <p>NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</p> <p>SEND ALL CORRESPONDENCE TO CUSTOMER NO. 23548:</p> <p style="text-align: center; font-size: 1.2em;">23548</p> <div style="text-align: right; margin-top: 20px;"> Jeffrey A. Wyand, Reg. No. 29,458 LEYDIE, VOIT & MAVER 700 Thirteenth Street, N.W., Suite 300 Washington, DC 20005-3960 (202) 737-6770 (telephone) (202) 737-6776 (facsimile) </div> <p>Date: <i>November 22, 2005</i></p>					